



UNIVERSITY OF CALICUT

Abstract

Directorate of Research -uploading of theses to the Shodhganga ETD repository -guidelines for submission of thesis- implemented -orders issued.

Directorate of Research

U.O.No. 13780/2023/Admn

Dated, Calicut University.P.O, 07.09.2023

*Read:-*1) Letter No: 47852/CHMK Asst-1/2023/Admn dated 24/06/2023.

2) UO No:9330/2022/Admn dated 29/04/2022.

3) Orders of the Vice Chancellor in the file of even no dated 04/09/2023.

ORDER

As per the paper read as (1) above, the University Librarian, CHMK Library has informed that, in order to upload the Ph.D thesis to the Shodhganga ETD repository the Research Scholars need to comply the below mentioned details for properly hosting their research work.

- The soft copy should be the exact replica of hard copy of thesis submitted at Directorate of Research/CHMK Library/Departmental Library
- Images/plates /graphs etc used in the soft copy of the thesis should be incorporated exactly as in the hard copy of the thesis.
- Certificate signed by the Research Guide with seal and signed declaration by the research scholar has to be attached in the soft copy and hard copy of the thesis.
- The character encoding of the soft copy should be in UNICODE format, especially for languages other than English.(Digital thesis encoded as ASCII files will not be accepted now onwards)
- Researchers should incorporate a single page abstract in English and Malayalam in the thesis.(both soft and hard copies).
- Five standard keywords/subject heading which directs the user to the theses may be given.
- All the documents including thesis, synopsis, abstract, certificates etc., shall be given in single CD/DVD.
- The soft copy of the thesis should be in PDF/A format for long term preservation.
- Proper book marking for the full text may be done.

In addition to the above points, the University Librarian has also suggested to follow the below mentioned matters before awarding the PhD degree.

- To ensure the acknowledgement of receipt of thesis issued from CHMK library to the scholars before awarding degree to them.
- To forward the copy of award notification to CHMK Library.

As per the paper read as (2) above, the Research Scholars were directed to submit two copies of abstract of thesis in a maximum of two pages in Malayalam to CHMK Library .

Considering the matter, the Vice Chancellor has accorded sanction to implement the proposals of the University Librarian, CHMK Library in the University and also to direct the scholars to incorporate a single page abstract in Malayalam in the thesis (both soft and hard copies) instead of submitting two copies of abstract in Malayalam to CHMK Library vide paper read as (3) above.

The following matters are, therefore, implemented in the University.

The Research Scholars shall comply with the following directions while preparing the PhD thesis.

- The soft copy should be the exact replica of hard copy of thesis submitted at Directorate of Research/CHMK Library/Departmental Library
- Images/plates /graphs etc used in the soft copy of the thesis should be incorporated exactly as in the hard copy of the thesis.
- Certificate signed by the Research Guide with seal and signed declaration by the research scholar has to be attached in the soft copy and hard copy of the thesis.
- The character encoding of the soft copy should be in UNICODE format, especially for languages other than English.(Digital thesis encoded as ASCII files will not be accepted now onwards)
- Researchers should incorporate a single page abstract in English and Malayalam in the thesis.(both soft and hard copies).
- Five standard keywords/subject heading which directs the user to the theses may be given.
- All the documents including thesis, synopsis, abstract, certificates etc., shall be given in single CD/DVD.
- The soft copy of the thesis should be in PDF/A format for long term preservation.
- Proper book marking for the full text may be done.

The Research Scholars shall incorporate a single page abstract in Malayalam in the thesis (both soft and hard copies) instead of submitting two copies of abstract in Malayalam to CHMK Library.

The Directorate of Research shall ensure the acknowledgement of receipt of thesis issued from CHMK library to the scholars before awarding Ph D degree to them and shall forward the copies of the award notification to the Research Scholars as well as the University Librarian, CHMK Library.

Orders are issued accordingly.

Rilesh K.T

Deputy Registrar

To

Hol/HoD,
All Research Centers,
Ps to VC, PA to PVC,PA to Registrar, PA to CE & University Librarian, CHMK Library.

Forwarded / By Order

Section Officer



UNIVERSITY OF CALICUT

Abstract

DoR - Resolution of the Academic Council held on 30.03.2022 vide item No.1.33 considered the Senate resolution (Dated 26/03/2022 item No. IV-7) of referring back to the Academic Council, the decisions of the Research Council held on 24/06/2020 - implemented - Orders issued.

Directorate of Research

U.O.No. 9330/2022/Admn

Dated, Calicut University.P.O, 29.04.2022

- Read:-*1). U.O. No. 12843/2020/ Admn Dated 22/12/2020.
2). U.O. No. 18617/2021/ Admn Dated 26/11/2021
3). The Special meeting of the Senate held on 26/03/2022 vide item No. IV-7.
4). Minutes of the meeting of Academic Council held on 30.03.2022 vide item No.1.33.
5). Orders of Vice Chancellor in the file of even No. Dated 27.04.2022

ORDER

The syndicate held on 25.11.2020, vide item No.2020.1078*, approved the Item No. 2 to 6 of the minutes of the meeting of the Research Council held on 24/06/2020. The Syndicate also resolved to constitute a sub-committee consisting of Dr. M. Manoharan (Convenor), Dr. Vinod Kumar K. P, Dr. Riju Lal. G, Dr. Shamshad Hussain. K. T. as members for detailed study Personals Working outside India. The Syndicate resolution was implemented vide reference cited 1st.

The decisions of the Research Council held on 24.06.2020 (Item No. 2 to 6) are given below :

Item No.2): To clarify the recommendations of the meeting of the Research Council held on 05.07.2019, at Syndicate Conference Hall, University of Calicut

(A) modifications needed on the format of Final Ph.D. thesis submitted by the Research Scholars in the University and

(B) the amendment proposal in 'clause 5.1 of the Calicut University Research Regulations, 2016.

(A) The Research Council modified the recommendations regarding final format of the thesis as given below:

- (i) The matter should be typed on both sides of the sheets.
- (ii) The reference should be typed in a font size of 10 or 11 points with a line spacing of 1 line.
- (iii) Preferably Harvard/Vancouver/APA style referencing style should be used while citing references.
- (iv) Use of plastic in any form in the thesis and synopsis should be avoided.

(v) As Malayalam has been declared as the official language by the State Government and in order to familiarise the Research Activities of the University to the general public, two copies of abstract in a maximum of two pages may be submitted in Malayalam to be displayed in the CHMK Central Library.

(vi) For the evaluation of the Final Ph.D. thesis, soft copy may be encouraged.

(vii) A new software may be introduced for evaluation purpose in consultation with the Director, CUCC.

(B) Regarding amendment to Clause 5.1 to improve the quality of research, the Research Council has modified the amendment to the Clause 5.1, regarding the qualification of faculty to be eligible for being granted guideship of the University as given below:

(a) Three published research papers two years prior to applying for guideship or five research papers published five years prior to applying for guideship included in the UGC CARE list/Scopus or Web of Science. Journals indexed by Thomson Reuters will also be considered.

(b) Short communication / book reviews will not be considered.

(c) Paper presentation in three National Seminars, three years prior to applying for guideship

(d) Presentation in one International Seminar or books published by reputed publishers with ISBN Number is desirable.

(e) After guideship is granted if the research supervisor is not guiding any research scholars for two years his/her guideship is liable to be cancelled.

Item No. 3): To consider the matter of granting part-time Ph.D. registration to Assistant Professor who are working in Government or Aided Colleges affiliated to the University on a contract basis. The Research Council decided not to permit faculty working in Government or Aided Colleges affiliated to the University on a contract basis to register for Part-time Ph.D.

Item No. 4): To relax the provisions in Clause 10.2 of the Calicut University Research Regulations 2016, which requires two or more Scientists with Ph.D. in the subjects concerned is necessary for the approval of Research centre. The item is deferred for detailed discussion in the next Research Council.

Item No. 5): Amendment to Clause 9.6 which states that "The thesis shall be rejected, in case there commendation in the report of the third External Examiner is unsatisfactory and the research scholar concerned shall be made ineligible for the award of the degree, based on the thesis already submitted". The Council recommended that in case of the Ph.D. thesis being rejected by the three examiners, the Vice Chancellor may decide to give a chance for fresh submission of the thesis after a time period of one year, after following the usual modalities of submission on request of the candidate.

Item No.6) : Presentation of thesis in Malayalam: In subjects where the content of the thesis needs to be presented in Malayalam, and if the Supervising teacher and the scholar thinks it appropriate, permission may be given by the Vice Chancellor in consultation with Post Graduate Board of Studies in the concerned subject, to prepare the thesis in Malayalam.

The Academic Council held on 24/09/2021 vide item No. 7 considered the above decisions of the

Research Council held on 24/06/2020 and the resolution of the Academic Council implemented vide order U.O. No. cited 2nd. The matter was also placed before the Senate for consideration.

As per the reference cited 3rd, the Special meeting of the Senate held on 26/03/2022 vide item No. IV-7 considered the matter and the house unanimously resolved to refer back the decisions of the Research Council held on 24/06/2020 to the Academic Council.

After considering the matter in detail, the Vice Chancellor has ordered on 28/03/2022 to place the above resolution of the Senate to the Academic Council.

As per reference cited 4th, **the House considered item No 2 to 6 of decisions of the Research Council held on 24/06/2020 and approved with the following modifications:**

1). Item No. 2.A: The House resolved to issue separate guidelines as an executive order, with the following modification:

Clause (vi): For the evaluation of the Final Ph.D. thesis, soft copy **must** be encouraged.

2). Item No. 2.B: Amendment to clause 5.1 regarding the qualifications of faculty to be eligible for being guideship

The House resolved to follow the UGC Research Regulation - 2016.

(The clause 5.1, Research Regulation - 2016 is : Any regular Professor of a particular subject of the University/approved research centre with at least five research publications in referred journals, approved by the UGC/ University and any regular Associate /Assistant Professor of a particular subject of the University/ approved research center with a Ph.D. degree and at least two research publications in referred journals, approved by the UGC/ University, may be recognized as Research Supervisor in that particular subject. Provided that in areas/ disciplines where there is no/only a limited number of referred journals available, the University may relax the above conditions for recognition of a teacher/scientist as Research Supervisor with reasons recorded in writing).

3). Item No. 3: Clause 2.8.2 - Teachers of the Universities/ Affiliated Colleges / Government Polytechnics, **in India** and the teachers of the Government or aided Secondary / Higher Secondary School within the Geographical jurisdiction of the University / employees of the University are eligible for admission to Ph.D programme satisfying the conditions of Clause 2.1 of the Calicut University Research Regulation 2016 may be granted Part -time registration.

In the case of Assistant Professors who are working in the colleges affiliated to University of Calicut, on contract basis, an undertaking from the Principal of the college should be submitted along with the application for part-time research that the Assistant Professor is in the service of the college.

As per reference cited 5th, sanction has been accorded by the Vice Chancellor to implement the resolution of the Academic Council held on 30/03/2022 vide item No. 1.33 and thus the resolution is implemented.

The amendments will come into effect from 30/03/2022, the date on which the Academic Council approved the amendments.

Orders are issued accordingly.

Abdul Azeze P.K

Deputy Registrar

To

The Heads of all Research Centres.

Copy to : PS to VC / PA to PVC / PA to Registrar / SF / FC

Forwarded / By Order

Section Officer